

Divisional Application
Docket No. 50623.340
Copy from USSN 09/894,248

PTO/SB/01 (03-01)

Approved for use through 10/31/2002, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

Declaration Submitted With Initial Filing Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	50623.00114 (2922)
First Named Inventor	Stephen D. Pacetti, et al.
COMPLETE IF KNOWN	
Application Number	Unknown
Filing Date	June 27, 2001
Group Art Unit	Unknown
Examiner Name	Unknown

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**A MANDREL FOR SUPPORTING A STENT AND A METHOD OF USING THE
MANDREL TO COAT A STENT**

the specification of which *(Title of the Invention)*

is attached hereto

OR

was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part, applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy Attached?
			<input type="checkbox"/>	<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Divisional Application
Docket No. 50623.340
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: Customer Number
or Bar Code Label OR Correspondence address below

Squire, Sanders & Dempsey, L.L.P.

Name

600 Hansen Way

Address

Palo Alto

California

94304-1043

City

State

ZIP

USA

650.856.6500

650.843.8777

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor

Given Name Stephen D.
(first and middle [if any])

Family Name Pacetti

Inventor's Signature

Date June 25, 2001

San Jose

California

USA

USA

Residence: City

State

Country

Citizenship

4578 Madoc Way

Mailing Address

San Jose

California

95130

USA

City

State

Zip

Country

NAME OF SECOND INVENTOR: A petition has been filed for this unsigned inventor

Given Name Mohammed E.
(first and middle [if any])

Family Name Moein
or Surname

Inventor's Signature

Date 6-25, 2001

San Jose

California

USA

USA

Residence: City

State

Country

Citizenship

3083 Irlanda Way

Mailing Address

San Jose

California

95124

USA

City

State

Zip

Country

Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Divisional Application

Docket No. 50623.340

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035
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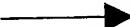
**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	Unknown
Filing Date	
First Named Inventor	Stephen D. Pacetti
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	50623.00114 (2922)

I hereby appoint:

Practitioners at Customer Number
OR

Practitioner(s) named below:



Place Customer
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Label here

Name/ Registration Number

Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Arnold de Guzman, Reg. No. 39,955, Cameron Kerrigan, Reg. No. 44,826; Patrick D. Benedicto, Reg. No. 40,909; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lorinda Howland, Reg. No. 42,671; Michael Lechter, Reg. No. 27,350; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Aaron Wininger, Reg. No. 45,229; Paul A. Durdik, Reg. No. 37,819; Paul J. Meyer 47,791; Victoria L. Nicholson, Reg. No. 47,823; and Fariba Sirjani, Reg. No. 47,947.

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

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I am the:

Applicant/Inventor.

Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name Stephen D. Pacetti

Signature *Stephen Pacetti*

Date June 25, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

SIGNATURE of Applicant or Assignee of Record

Name Mohammed E. Moein

Signature *Mohammed Moein*

Date 6/25/01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

*Total of 3 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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50623.114
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 19, 2001

PTAS

VICTORIA L. NICHOLSON, REG NO. 47,823
SQUIRE, SANDERS & DEMPSEY, L.L.P.
600 HANSEN WAY
PALO ALTO, CA 94304-1043



101778610A

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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/27/2001

REEL/FRAME: 011970/0906
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
PACETTI, STEPHEN D.

DOC DATE: 06/25/2001

ASSIGNOR:
MOEIN, MOHAMMED E.

DOC DATE: 06/25/2001

ASSIGNEE:
ADVANCED CARDIOVASCULAR SYSTEMS,
INC.
3200 LAKESIDE DRIVE
SANTA CLARA, CALIFORNIA 95054

SERIAL NUMBER: 09894248
PATENT NUMBER:

FILING DATE: 06/27/2001
ISSUE DATE:

DATES ENTERED No Action

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

OCT 01 2001

CALENDARED
BY JVL
ATTORNEY _____
SQUIRE, SANDERS & DEMPSEY

Divisional Application

Docket No. 50623.340

Express Mail Label No.: EL/01360579US

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Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Attorney Docket No.: 50623.00114 (2922)

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

07-17-2001

Tab settings 

To the Honorable Commissioner of

101778610

the original documents or copy thereof.

1. Name of conveying party(ies):

Stephen D. Pacetti
Mohammed E. MoeinAdditional name of conveying party(ies) attached? Yes No

3. Nature of conveyance:

 Assignment Merger Security Agreement Change of Name Other _____

Execution Date: June 25, 2001

2. Name and address of receiving party(ies)

Name: Advanced Cardiovascular Systems, Inc.

Internal Address: 3200 Lakeside Drive

Street Address: 3200 Lakeside Drive

City: Santa Clara State: CA Zip: 95054

Additional Name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 27, 2001

A. Patent Application No.(s)

69/894248

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Victoria L. Nicholson, Reg. No. 47,823

Internal Address: Squire, Sanders & Dempsey, L.L.P.

600 Hansen Way

Street Address: 600 Hansen Way

City: Palo Alto

State: CA

Zip: 94304-1043

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

 Enclosed Authorized to be charged to deposit account

8. Deposit account number:

05-0150

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Victoria L. Nicholson, Reg. No. 47,823

Name of Person Signing

Signature

June 27, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 3

07/16/2001 LMUELLER 00000148 050150 09894248

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Divisional Application
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PATENT
Attorney Docket No. 50623.00114 (2922)

ASSIGNMENT

(1-2) Insert Name(s) of Inventor(s)

(1) Stephen D. Pacetti

(2) Mohammed E. Moein

For good and valuable consideration receipt of which is hereby acknowledged, the undersigned agree(s) to assign, and hereby do(es) assign, transfer and set over to:

(9) Insert name of Assignee

(9) Advanced Cardiovascular Systems, Inc.

(10) Insert state of incorporation of Assignee

(10) California

(11) Insert address of Assignee

(11) of 3200 Lakeside Drive, Santa Clara, California 95054

(hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as

(12) A MANDREL FOR SUPPORTING A STENT AND A METHOD OF USING THE MANDREL TO COAT A STENT

(Case No. 50623.00114) (Serial No. Unassigned) for which the undersigned has (have) executed an application for patent in United States of America

(13) Insert Date of Signing of Application

(13) on June 25, 2001

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner for Patents and the duly constituted authorities of foreign countries to issue any and all Letters Patents resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, its successors and assigns, as Assignee of the entire right, title and interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s)

Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Arnold de Guzman, Reg. No. 39,955, Cameron Kerrigan, Reg. No. 44,826; Patrick D. Benedicto, Reg. No. 40,909; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lorinda Howland, Reg. No. 42,671; Michael Lechter, Reg. No. 27,350; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Aaron Wininger, Reg. No. 45,229; Paul A. Durdik, Reg. No. 37,819; Paul J. Meyer P47,791; Victoria L. Nicholson, Reg. No. 47,823; and Fariba Sirjani, Reg. No. P47,947.

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

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PATENT
Attorney Docket No. 50623.00114 (2922)

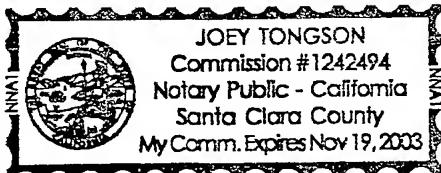
Date June 25, 2001

Stephen Pacetti
Stephen D. Pacetti

STATE OF CALIFORNIA)
)
) ss.
COUNTY OF)

On JUNE 25, 2001 before me, JOEY TONGSON, personally Stephen D. Pacetti, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



Joe Tongson
Notary Public

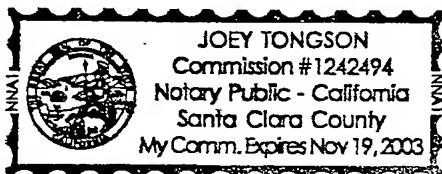
Date 6/25/2001

Mohammed Moein
Mohammed E. Moein

STATE OF CALIFORNIA)
)
) ss.
COUNTY OF)

On JUNE 25, 2001 before me, JOEY TONGSON, personally Mohammed E. Moein, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



Joe Tongson
Notary Public